

#### Attorney Docket No. YOR920030160US1

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#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Alexandria, VA 22313-1450.

#### **Patent Application**

Applicant(s): S. Ma et al.

Docket No.:

YOR920030160US1

Serial No.:

10/630,992

Filing Date:

July 30, 2003

Group: Examiner: To Be Assigned To Be Assigned

Title:

Methods and Apparatus for

Mining Attribute Associations

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

#### Other Documents

- 1. R. Agrawal et al., "Mining Association Rules Between Sets of Items in Large Databases, VLDB, pp. 1-10, 1993.
  - 2. R. Agrawal et al., "Mining Sequential Patterns, ICDE, 12 pages, 1995."
  - 3. R. Srikant et al., "Mining Generalized Association Rules," VLDB, 13 pages, 1995.
- 4. J. Han et al., "Discovery of Multiple-level Association Rules from Large Databases," VLDB, pp. 1-12, 1995.
- 5. R. Ng et al., "Exploratory Mining and Pruning Optimizations of Constrained Associations Rules, SIGMOD, 12 pages, 1998.
- 6. R. Srikant et al., "Mining Association Rules with Item Constraints, SIGKDD, 7 pages, 1997.

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7. W-M. Shen et al., "MetaQueries for Data Mining," pp. 1501-1521, AAAI/MIT press,

1996.

8. Y. Fu et al., "Meta-Rule-Guided Mining of Association Rules in Relational Databases,"

Proceedings 1st Int'l. Workshop on Integration of KDOOD, 8 pages, 1995.

9. M. Kamber et al., "Metarule-Guided Mining of Multi-Dimensional Association Rules

Using Data Cubes, SIGKDD, 4 pages, 1997.

10. C. Grahne et al., "On Dual Mining: From Patterns to Circumstances, and Back," ICDE,

pp. 1-10, 2001.

11. C-S. Perng et al., "A Framework for Exploring Mining Spaces with Multiple Attributes,"

ICDM, pp. 449-456, 2001.

12. R. Agrawal et al., "Fast Algorithms for Mining Association Rules," VLDB, pp. 1-32,

1994.

It is believed that there is no fee due in conjunction with the filing of this Information

Disclosure Statement. In the event of non-payment or improper payment of a required fee, the

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Deposit Account No. 50-0510 as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation

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material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

Date: November 19, 2003

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## FORM PTO-1449 (MODIFIED)

# LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Applicant(s): Docket No.: S. Ma et al.

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Group:

To Be Assigned

| U.S. PATENT DOCUMENTS    |  |                  |                           |                                      |                 |  |
|--------------------------|--|------------------|---------------------------|--------------------------------------|-----------------|--|
| EXAMINE                  | R  |                  |                           |                                      | FILING DATE     |  |
| INITIAL                  | DOCUMENT NO.   | DATE             | NAME                      | CLASS/SUBCLASS                       | IF APPROPRIATE  |  |
|                          |  |                  |                           |                                      |                 |  |
|                          |  |                  |                           |                                      |                 |  |
| FOREIGN PATENT DOCUMENTS |  |                  |                           |                                      |                 |  |
| EXAMINE                  |  | D. 4.000         | COLINTRAL                 | CLASSISTED CLASS                     | TRANSLATION     |  |
| INITIAL                  | DOCUMENT NO.   | DATE             | COUNTRY                   | CLASS/SUBCLASS                       | YES NO          |  |
|                          |  |                  |                           |                                      |                 |  |
| OTHER DOCUMENTS          |  |                  |                           |                                      |                 |  |
| EXAMINER                 |  |                  |                           |                                      |                 |  |
| INITIAL                  | REF NO. AUT  | THOR, TITLE, DAT | TE, PERTINENT PAGES, ETC. |                                      |                 |  |
|                          | 1. R. Agrawal et al., "Mining Association Rules Between Sets of Items in Large Databases, VLDB, pp. 1-10, 1993.  |                  |                           |                                      |                 |  |
|                          | 2. R. Agrawal et a   | l., "Mining S    | equential Patterns, ICI   | DE, 12 pages, 1995.                  |                 |  |
|                          | 3. R. Srikant et al.   | , "Mining Ge     | neralized Association     | Rules," VLDB, 13 pages, 1995.        |                 |  |
|                          | 4. J. Han et al., "Discovery of Multiple-level Association Rules from Large Databases," VLDB, pp. 1-12, 1995.  |                  |                           |                                      |                 |  |
|                          | 5. R. Ng et al., "Exploratory Mining and Pruning Optimizations of Constrained Associations Rules, SIGMOD, 12 pages, 1998.  |                  |                           |                                      |                 |  |
|                          | 6. R. Srikant et al., "Mining Association Rules with Item Constraints, SIGKDD, 7 pages, 1997.  |                  |                           |                                      |                 |  |
|                          | 7. W-M. Shen et al., "MetaQueries for Data Mining," pp. 1501-1521, AAAI/MIT press, 1996.   |                  |                           |                                      |                 |  |
|                          | 8. Y. Fu et al., "Meta-Rule-Guided Mining of Association Rules in Relational Databases," Proceedings 1st Int'l. Workshop on Integration of KDOOD, 8 pages, 1995. |                  |                           |                                      |                 |  |
|                          | 9. M. Kamber et al., "Metarule-Guided Mining of Multi-Dimensional Association Rules Using Data Cubes, SIGKDD, 4 pages, 1997.                                     |                  |                           |                                      |                 |  |
|                          | 10. C. Grahne et al  | ., "On Dual M    | lining: From Patterns t   | o Circumstances, and Back," ICDE,    | pp. 1-10, 2001. |  |
|                          | 11. C-S. Perng et al., "A Framework for Exploring Mining Spaces with Multiple Attributes," ICDM, pp 449-456, 2001.   |                  |                           |                                      |                 |  |
|                          | 12. R. Agrawal et  | al., "Fast Alg   | gorithms for Mining As    | ssociation Rules," VLDB, pp. 1-32, 1 | 994.            |  |
| Examiner                 |  |                  |                           | Date Considered                      |                 |  |

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.